

JEWSS 30 OCT 2003

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FACSIMILE TRANSMITTAL SHEET

TO: Office of Initial Patent Examination's
Filing Receipt Corrections

FROM: Norman P. Soloway

COMPANY: U.S. Patent Office

DATE: October 29, 2003

FAX NUMBER: 703-746-9195

TOTAL NO. OF PAGES
INCLUDING COVER SHEET: 10

PHONE NUMBER: 520-882-7623

OUR REFERENCE: NEC 03FN011

☐ URGENT

☐ FOR REVIEW

☐ PLEASE COMMENT

☐ PLEASE REPLY

NOTES/COMMENTS:**REQUEST FOR CORRECTION OF FILING RECEIPT**

In re Appln. Of: MIYABE et al.
Serial No.: 10/622,061
Filed: July 17, 2003
For: Semiconductor Laser Device
Docket: NEC 03FN011

Received: 1. Request for Correction of Filing Receipt (2 pg)
2. Copy of Filing Receipt w/ Marked Corrections (2 pgs)
3. Copy of Submission of Priority Document (2 pgs)
4. Copy of Declaration and Power of Attorney (3 pgs)

10/29/03 kmg

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: MIYABE et al.
SERIAL NO: 10/622,061
FILED: July 17, 2003
FOR: Semiconductor Laser Device
GROUP: 2828
DOCKET: NEC 03FN011

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

We enclose a copy of the filing receipt in which we have marked the correction for a clerical error contained therein, i.e., the priority date "7/19/2002" should be --7/18/2002--. Also enclosed are copies of the Declaration and Power of Attorney and the Submission of Priority Document as support of the correct information.

We respectfully request that you correct your records and send a corrected filing receipt.

We believe there are no fees involved with the correction. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Norman P. Soloway
Attorney for Applicants
Reg. No. 24,315

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Serial No. 10/622,061
Docket No. NEC 03FN011

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, at number 703-746-9195 on October 29, 2003 at Tucson, Arizona.

By: 

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/622,061	07/17/2003	2828	750	NEC 03FN011	7	16	1

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CONFIRMATION NO. 2280

Norman P. Soloway
HAYES SOLOWAY P.C.
130 W. Cushing Street
Tucson, AZ 85701

OCT 23 2003

HAYES SOLOWAY

FILING RECEIPT



OC000000011065599

Date Mailed: 10/20/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kazuyuki Miyabe, Kawasaki-shi, JAPAN;
Hiroyuki Sawano, Kawasaki-shi, JAPAN;
Hitoshi Hotta, Kawasaki-shi, JAPAN;

Assignment For Published Patent Application

NEC Compound Semiconductor Devices, Ltd.;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-209699 ~~07/19/2002~~ 07/18/2002

If Required, Foreign Filing License Granted: 10/17/2003

Projected Publication Date: 01/29/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor laser device

Preliminary Class

372

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. Of: MIYABE et al.
Serial No.: 10/622,061
Filed: July 17, 2003
For: Semiconductor Laser Device
DOCKET: NEC 03FN011

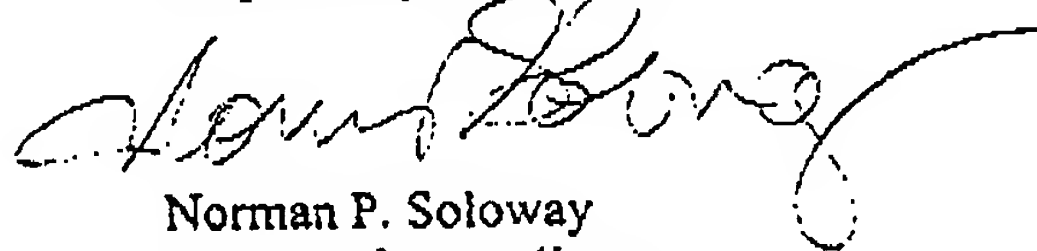
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF PRIORITY DOCUMENT

Dear Sir:

Submitted herewith is the certified copy of Japanese Patent Application No. 2002-209699
in support of Applicant's priority claim under 35 USC 119.

Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
Registration No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2003 at Tucson, Arizona.

By: 

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日 本 国 特 許 庁
JAPAN PATENT OFFICE

別紙添付の書類に記載されている事項は下記の出願書類に記載されている事項と同一であることを証明する。

This is to certify that the annexed is a true copy of the following application as filed with this Office

出 願 年 月 日

Date of Application:

2002年 7月18日

出 願 番 号

Application Number:

特願2002-209699

ST.10/C]:

[JP2002-209699]

出 願 人

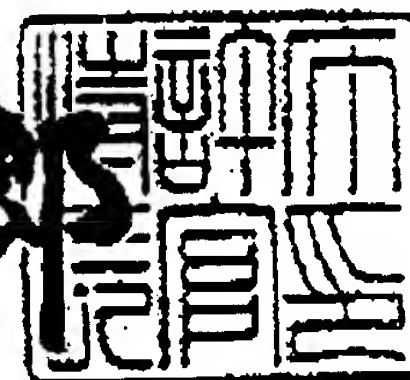
Applicant(s):

NEC化合物デバイス株式会社

2003年 4月22日

特 許 庁 長 官
Commissioner,
Japan Patent Office

太田信一郎



03FN011 US
(FUJIMAKI)

1/5

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No: NEC 03FN011

First Named Inventor: MIYABE

Complete if known:

Serial No: _____

Filing Date: July 17, 2003

Group Art Unit: _____

Examiner: _____

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SEMICONDUCTOR LASER DEVICE, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, S. 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Certified Copy

(Number)	(Country)	(Month/Day/Year Filed)	Priority Claimed	Attached
2002-209699	Japan	07/18/2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

03 FNO11 US
(FUTIMAKI) 2/5

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application No:

Filing Date:

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

US Parent Application No. Patent Number or PCT Parent Appln. No.	Parent Filing Date	Parent (if applicable)
--	--------------------	---------------------------

And I hereby appoint HAYES SOLOWAY P.C., a firm composed of Oliver W. Hayes, Reg. No. 15,867; Peter A. Nieves, Reg. No. 48,173; Peter W. Murphy, Reg. No. 43,822, or any of them, of 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400); or Norman P. Soloway, Reg. No. 24,315; Kevin M. Drucker, Reg. No. 47,537; or Ashley L. Kirk, Reg. No. 51,261, or any of them, of 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623) my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith.

Please direct all future correspondence in connection with this application to the attention of Norman P. Soloway, HAYES SOLOWAY P.C., 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Kazuyuki MIYABEFirst Inventor's signature Kazuyuki Miyabe Date July 14, 2003Residence: c/o NEC Compound Semiconductor Devices, Ltd.,
1753, Shimonumabe, Nakahara-ku, Kawasaki-shi, Kanagawa, JapanCitizenship: JapanesePost Office Address: Same as Residence

03 FNO/US

(FUTIMAKI) 3/5

Full name of sole or second inventor: Hirovuki SAWANOSecond Inventor's signature Hirovuki Sawano (m) Date July 14, 2003Residence: c/o NEC Compound Semiconductor Devices, Ltd.,
1753, Shimonumabe, Nakahara-ku, Kawasaki-shi, Kanagawa, JapanCitizenship: JapanesePost Office Address: Same as ResidenceFull name of sole or third inventor: Hitoshi HOTTAThird Inventor's signature Hitoshi Hotta (m) Date July 14, 2003Residence: c/o NEC Compound Semiconductor Devices, Ltd.,
1753, Shimonumabe, Nakahara-ku, Kawasaki-shi, Kanagawa, JapanCitizenship: JapanesePost Office Address: Same as Residence